

General information about company

Scrip code	500009
NSE Symbol	
MSEI Symbol	
ISIN	INE432A01017
Name of the company	Ambalal Sarabhai Enterprises Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	26	23571244			23571244	30.76	23571244		23571244	30.76
(B)	Public	160145	53062052			53062052	69.24	53062052		53062052	69.24
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	160171	76633296			76633296	100	76633296		76633296	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				30.76					23571244
(B)	Public				69.24					40151828
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					63723072

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	344724			344724	0.45	344724		344724	0.45
(d)	Any Other (specify)	22	23226520			23226520	30.31	23226520		23226520	30.31
Sub-Total (A)(1)		26	23571244			23571244	30.76	23571244		23571244	30.76
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		26	23571244			23571244	30.76	23571244		23571244	30.76
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	14	2712			2712	0	2712		2712	0
(g)	Insurance Companies	2	1700			1700	0	1700		1700	0
Sub-Total (B)(1)		16	4412			4412	0.01	4412		4412	0.01
(3)	Non-institutions										
(a(i))	Individuals - i.Individual	158742	24731371			24731371	32.27	24731371		24731371	32.27

	shareholders holding nominal share capital up to Rs. 2 lakhs.										
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	165	10634609			10634609	13.88	10634609		10634609	13.88
(e)	Any Other (specify)	1222	17691660			17691660	23.09	17691660		17691660	23.09
Sub-Total (B)(3)		160129	53057640			53057640	69.24	53057640		53057640	69.24
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		160145	53062052			53062052	69.24	53062052		53062052	69.24
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		160171	76633296			76633296	100	76633296		76633296	100
Total (A+B+C)		160171	76633296			76633296	100	76633296		76633296	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.45					344724
(d)				30.31					23226520
Sub-Total (A) (1)				30.76					23571244
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				30.76					23571244
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0					1292
(g)				0					0
Sub-Total (B) (1)				0.01					1292
(3)	Non-institutions								
(a(i))				32.27					12110896
(a(ii))				13.88					10634609
(e)				23.09					17405031

Sub-Total (B) (3)				69.24					40150536
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				69.24					40151828
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					63723072
Total (A+B+C)				100					63723072

Individuals/Hindu undivided Family					
Searial No.	1	2	3	4	
Name of the Shareholders (I)	KARTIKEYA VIKRAM SARABHAI	MALLIKA VIKRAM SARABHAI	MOHAL KARTIKEYA SARABHAI	SAMVIT KARTIKEYA SARABHAI	Click here to go back
PAN (II)	ALXPS5817M	ALXPS5816L	ACVPS6841C	ALXPS5815K	Total
No. of fully paid up equity shares held (IV)	123142	125655	51387	44540	344724
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	123142	125655	51387	44540	344724
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.16	0.16	0.07	0.06	0.45
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	123142	125655	51387	44540	344724
Class eg:y					
Total	123142	125655	51387	44540	344724
Total as a % of Total Voting rights	0.16	0.16	0.07	0.06	0.45
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.16	0.16	0.07	0.06	0.45
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	123142	125655	51387	44540	344724
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type					

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	SARABHAI HOLDINGS PRIVATE LIMITED	YUDHISTHAR INVESTMENTS PVT LTD IVL	KOSHALYA INVESTMENTS PVT LTD IVL	KANDA INVESTMENTS PVT.LTD.(IVL)	VASANTBAHAR INVESTMENTS PVT. LTD. (IVL)	TODIRAG HOLDINGS PVT.LTD. (IVL)	ASHAVARI INVESTMENTS PVT.LTD.(IVL)
PAN (II)	AAECS6919B	AAACY0538L	AAACK6175D	AAACK4615N	AAACV1875H	AAACT2112R	AAACA4417E
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	19303972	469305	456722	209288	200989	185675	182513
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	19303972	469305	456722	209288	200989	185675	182513
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	25.19	0.61	0.6	0.27	0.26	0.24	0.24
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	19303972	469305	456722	209288	200989	185675	182513
Class eg:y							
Total	19303972	469305	456722	209288	200989	185675	182513

Total as a % of Total Voting rights	25.19	0.61	0.6	0.27	0.26	0.24	0.24
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	25.19	0.61	0.6	0.27	0.26	0.24	0.24
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	19303972	469305	456722	209288	200989	185675	182513

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Any Other (specify)							
Searial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	VAISHAKHI INVESTMENTS PVT.LTD.(IVL)	MRIGANK INVESTMENTS PVT.LTD.(IVL)	JONPURI INVESTMENTS PVT.LTD.(IVL)	MADHAVBAG HOLDINGS PVT.LTD.(IVL)	RAJKA DESIGNS PRIVATE LIMITED	TALIMI INVESTMENTS PVT.LTD.(IVL)	SAHAYOG INVESTMENTS PVT.LTD.(IVL)
PAN (II)	AAACV1873B	AABCM1019R	AAACJ1960F	AABCM1020A	AAACR5477P	AAACT5634Q	AACCS0920J
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	181561	178669	178667	178651	163850	163323	161011
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	181561	178669	178667	178651	163850	163323	161011
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.24	0.23	0.23	0.23	0.21	0.21	0.21
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	181561	178669	178667	178651	163850	163323	161011
Class eg:y							
Total	181561	178669	178667	178651	163850	163323	161011

Total as a % of Total Voting rights	0.24	0.23	0.23	0.23	0.21	0.21	0.21
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.24	0.23	0.23	0.23	0.21	0.21	0.21
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	181561	178669	178667	178651	163850	163323	161011

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Any Other (specify)							
Serial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	KHAMAJ INVESTMENTS PVT.LTD.(IVL)	BHADRAPAD INVESTMENTS PVT.LTD.(IVL)	ADANA INVESTMENTS PVT.LTD.(IVL)	BILAWAL INVESTMENTS PVT.LTD.(IVL)	MEDICINAL DRUGS MFG.PVT.LTD.(IVL)	LEENA INVESTMENTS PVT LTD IVL	SARABHAI MANAGEMENT CORPORATION PVT LTD
PAN (II)	AAACK4614P	AAACB2441F	AAACA6852H	AAACB2440E	AACCM2915K	AAACL2702P	AACCS0925P
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	159086	157920	157920	157920	157716	128217	91634
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	159086	157920	157920	157920	157716	128217	91634
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.21	0.21	0.21	0.21	0.21	0.17	0.12
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	159086	157920	157920	157920	157716	128217	91634
Class eg:y							
Total	159086	157920	157920	157920	157716	128217	91634

Total as a % of Total Voting rights	0.21	0.21	0.21	0.21	0.21	0.17	0.12
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.21	0.21	0.21	0.21	0.21	0.17	0.12
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	159086	157920	157920	157920	157716	128217	91634

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Any Other (specify)		
Searial No.	22	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	HIMALAYA IVESTMENTS PVT.LTD.(IVL)	
PAN (II)	AAACH3827K	Total
No. of the Shareholders (I)	1	22
No. of fully paid up equity shares held (IV)	1911	23226520
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1911	23226520
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	30.31
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1911	23226520
Class eg:y		
Total	1911	23226520
Total as a % of Total Voting rights	0	30.31
No. Of Shares Underlying Outstanding convertible securities (X)		

No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	30.31
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1911	23226520
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	HUF	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)				CAPLIN VINIYOG PRIVATE LIMITED	NAVTECH FARM PRODUCTS PRIVATE LIMITED	ONTIME HIRE PURCHASE AND AGENCIES PVT LTD	MONET SECURITIES PRIVATE LTD
PAN (II)				AABCC3907C	AAACN8470E	AAACO6519M	AABCM7058A
No. of the Shareholders (I)	3	409	242	1	1	1	1
No. of fully paid up equity shares held (IV)	88039	411023	1399384	4222789	3646167	3295625	2275688
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	88039	411023	1399384	4222789	3646167	3295625	2275688
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.11	0.54	1.83	5.51	4.76	4.3	2.97
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	88039	411023	1399384	4222789	3646167	3295625	2275688
Class eg:y							
Total	88039	411023	1399384	4222789	3646167	3295625	2275688

Total as a % of Total Voting rights	0.11	0.54	1.83	5.51	4.76	4.3	2.97
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.11	0.54	1.83	5.51	4.76	4.3	2.97
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	88039	257811	1399384	4222789	3646167	3295625	2275688
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Searial No.	8	9	
Category	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)	M.PRASAD & CO LIMITED		Click here to go back
PAN (II)	AACCM1798E		Total
No. of the Shareholders (I)	1	568	1222
No. of fully paid up equity shares held (IV)	974214	15793214	17691660
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	974214	15793214	17691660
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.27	20.61	23.09
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	974214	15793214	17691660
Class eg:y			
Total	974214	15793214	17691660
Total as a % of Total Voting rights	1.27	20.61	23.09
No. Of Shares Underlying Outstanding			

convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.27	20.61	23.09
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	974214	15659797	17405031
Reason for not providing PAN			
Reason for not providing PAN			

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence