

General information about company

Scrip code	500009
NSE Symbol	
MSEI Symbol	
ISIN	INE432A01017
Name of the entity	Ambalal Sarabhai Enterprises Ltd.
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Half Yearly
Date of Report	30-09-2019
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory													
Whether the listed entity has a Regular Chairperson										Yes			
Whether Chairperson is related to MD or CEO										No			
Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)
HAR	AABPB8716F	00055288	Non-Executive - Independent Director	Not Applicable	Shareholder Director	09-09-1972	13-06-2013	27-09-2018		12	2	1	0
ANI	AABPZ5583G	00308492	Non-Executive - Independent Director	Not Applicable	Shareholder Director	15-01-1927	01-04-1996	27-09-2018		12	1	1	1
CH	AGEPP3253G	00312504	Executive Director	Not Applicable		18-10-1948	04-05-2005	26-12-2017		21	1	0	0
JAIR	ABNPN4426B	00312802	Non-Executive - Independent Director	Not Applicable	Shareholder Director	12-05-1948	03-03-2011	27-09-2018		12	1	1	2

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulation)
5	Mr	KARTIKEYA VIKRAM SARABHAI	ALXPS5817M	00313585	Executive Director	Chairperson		27-11-1947	30-07-1992	01-04-2018		18	1	0
6	Mr	ASHWIN P HATHI	AAHPH6998R	00326488	Non-Executive - Independent Director	Not Applicable	Shareholder Director	23-08-1940	24-06-2010	27-09-2018		12	1	1
7	Ms	CHAULA MAHENDRAPRASAD SHASTRI	AHCPS7251C	06404118	Executive Director	Not Applicable		19-01-1959	16-10-2012	01-04-2018		18	1	0

Audit Committee Details								
Whether the Audit Committee has a Regular Chairperson						Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	00326488	ASHWIN P HATHI	Non-Executive - Independent Director	Member	27-09-2018			
2	00055288	CHANDRASHEKHAR BOHRA	Non-Executive - Independent Director	Chairperson	27-09-2018			
3	00308492	GOVINDDAS GOPALDAS ZALANI	Non-Executive - Independent Director	Member	27-09-2018			
4	00312802	MOHANDAS K NAIR	Non-Executive - Independent Director	Member	27-09-2018			

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00326488	ASHWIN P HATHI	Non-Executive - Independent Director	Member	27-09-2018		
2	00308492	GOVINDDAS GOPALDAS ZALANI	Non-Executive - Independent Director	Chairperson	27-09-2018		
3	00312802	MOHANDAS K NAIR	Non-Executive - Independent Director	Member	27-09-2018		
4	00055288	CHANDRASHEKHAR BOHRA	Non-Executive - Independent Director	Member	29-08-2019		

Stakeholders Relationship Committee								
Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	
1	00055288	CHANDRASHEKHAR BOHRA	Non-Executive - Independent Director	Chairperson	27-09-2018			
2	00313585	KARTIKEYA VIKRAM SARABHAI	Executive Director	Member	01-04-2018			

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	29-05-2019				Yes		
2		13-08-2019	75		Yes	6	3
3		29-08-2019	15		Yes	5	2

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	28-05-2019				Yes		
2	Audit Committee	12-08-2019	75			Yes	3	3
3	Nomination and remuneration committee	28-05-2019				Yes		
4	Nomination and remuneration committee	27-09-2019	121			Yes	2	2

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	KETAN ADHVARYU
2	Designation	Company Secretary and Compliance Officer

Annexure III**III. Affirmations**

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	No	Traveling out of India
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	No	Traveling out of India
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				

Annexure III

1	Name of signatory	KETAN ADHVARYU
2	Designation	Company Secretary and Compliance Officer

Signatory Details

Name of signatory	KETAN ADHVARYU
Designation of person	Company Secretary and Compliance Officer
Place	Ahmedabad
Date	04-10-2019

