

General information about company	
Script code	500009
NSE Symbol	
MSEI Symbol	
ISIN	INE432A01017
Name of the entity	AMBALAL SARABHAI ENTERPRISES LTD.
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Yearly
Date of Report	31-03-2019
Risk management committee	Not Applicable

Annexure I															
Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Disclosure of notes on composition of board of directors explanatory															
Whether the listed entity has a Regular Chairperson															
Yes															
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committees including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committees held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	CHANDRASHEKHAR BOHRA	AABPB8716F	00055288	Non-Executive - Independent Director	Not Applicable	Shareholder Director	27-09-2018		6	1	1	1		
2	Mr	GOVINDDAS GOPALDAS ZALANI	AABPZ5583G	00308492	Non-Executive - Independent Director	Not Applicable	Shareholder Director	27-09-2018		6	0	0	2		
3	Mr	ANILKUMAR HARILAL PAREKH	AGEPP3253G	00312504	Executive Director	Not Applicable		28-12-2017		15	0	0	0		
4	Mr	MOHANDAS K NAIR	ABNPN4426B	00312802	Non-Executive - Independent Director	Not Applicable	Shareholder Director	27-09-2018		6	0	2	0		

Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Disclosure of notes on composition of board of directors explanatory															
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committees including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committees held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	KARTIKEYA VIKRAM SARABHAI	ALXPS5817M	00313585	Executive Director	Chairperson	MD	01-04-2018		12	0	1	0		
6	Mr	ASHWIN P HATHI	AAHPH6998R	00326488	Non-Executive - Independent Director	Not Applicable	Shareholder Director	27-09-2018		6	0	2	0		
7	Ms	CHAULA MAHENDRAPRASAD SHASTRI	AHCPS7251C	06404118	Executive Director	Not Applicable		01-04-2018		12	0	0	0		

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00326488	ASHWIN P HATHI	Non-Executive - Independent Director	Member	27-09-2018		
2	00055288	CHANDRASHEKHAR BOHRA	Non-Executive - Independent Director	Chairperson	27-09-2018		
3	00308492	GOVINDDAS GOPALDAS ZALANI	Non-Executive - Independent Director	Member	27-09-2018		
4	00312802	MOHANDAS K NAIR	Non-Executive - Independent Director	Member	27-09-2018		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00326488	ASHWIN P HATHI	Non-Executive - Independent Director	Member	27-09-2018		
2	00308492	GOVINDDAS GOPALDAS ZALANI	Non-Executive - Independent Director	Chairperson	27-09-2018		
3	00312802	MOHANDAS K NAIR	Non-Executive - Independent Director	Member	27-09-2018		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson							
Yes							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00055288	CHANDRASHEKHAR BOHRA	Non-Executive - Independent Director	Chairperson	27-09-2018		
2	00313585	KARTIKEYA VIKRAM SARABHAI	Executive Director	Member	01-04-2018		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
No							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
No							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee							
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks	

Annexure I				
Annexure I				
III. Meeting of Board of Directors				
Disclosure of notes on meeting of board of directors explanatory				
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date
1	13-11-2018			
2		12-02-2019	90	

Annexure I								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee	Reson for not providing date
1	Audit Committee	11-02-2019	Yes	04	13-11-2018	89		
2	Nomination and remuneration committee	11-02-2019	Yes					Last meeting of NRC was conducted on: 11/02/2018 Before that it was conducted on: 13/08/2018 Hence, no meeting was conducted in third quarter the date of meeting is not entered.

Annexure I			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	

Annexure I			
VI. Affirmations			
Sr	Subject	Compliance status (Yes/No)	
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes	
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes	
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes	
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes	
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA	
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes	
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes	
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes	

Annexure I		
Sr	Subject	Compliance status
1	Name of signatory	KETAN ADHVARYU
2	Designation	Company Secretary and Compliance Officer

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.	Web address
1	Details of business	Yes		www.ase.lfse
2	Terms and conditions of appointment of independent directors	Yes		www.ase.lfse
3	Composition of various committees of board of directors	Yes		www.ase.lfse
4	Code of conduct of board of directors and senior management personnel	Yes		www.ase.lfse
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		www.ase.lfse
6	Criteria of making payments to non-executive directors	Yes		www.ase.lfse
7	Policy on dealing with related party transactions	Yes		www.ase.lfse
8	Policy for determining material subsidiaries	Yes		www.ase.lfse
9	Details of familiarization programmes imparted to independent directors	Yes		www.ase.lfse

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		www.ase.lfse
11	email address for grievance redressal and other relevant details	Yes		www.ase.lfse
12	Financial results	Yes		www.ase.lfse
13	Shareholding pattern	Yes		www.ase.lfse
14	Details of agreements entered into with the media companies and/or their associates.	Yes		www.ase.lfse
15	New name and the old name of the listed entity	NA		

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.
1	Specified director(s) have been appointed in terms of independent criteria of independence and/or eligibility	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Review of Compliance Reports	17(3)	Yes	
5	Plans for orderly succession for appointments	17(4)	Yes	
6	Code of Conduct	17(5)	Yes	
7	Fees/Compensation	17(6)	Yes	
8	Minimum Information	17(7)	Yes	
9	Compliance Certificate	17(8)	Yes	
10	Risk Assessment & Management	17(9)	NA	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.
11	Particulars Evaluation of Independent Directors	17(10)	Yes	
12	Composition of Audit Committee	18(1)	Yes	
13	Meeting of Audit Committee	18(2)	Yes	
14	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
15	Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes	
16	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	
17	Vigil Mechanism	22	Yes	
18	Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes	
19	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	NA	
20	Approval for material related party transactions	23(4)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No details of non-compliance may be given here.
21	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
22	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes	
23	Maximum Directorship & Tenure	25(1) & (2)	Yes	
24	Meeting of independent directors	25(3) & (4)	Yes	
25	Familiarization of independent directors	25(7)	Yes	
26	Memberships in Committees	26(1)	Yes	
27	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
28	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
29	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided - Add Notes				

Annexure II		
Sr	Name of signatory	KETAN ADHVARYU
2	Designation	Company Secretary and Compliance Officer

Annexure II	
Sr	Particulars
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied
Any other information to be provided	

Annexure II		
Sr	Name of signatory	KETAN ADHVARYU
2	Designation	Company Secretary and Compliance Officer

Signatory Details			
Name of signatory	KETAN ADHVARYU	Designation of person	Company Secretary and Compliance Officer
Place	Ahmedabad	Date	08-04-2019