

## RECONCILIATION OF SHARE CAPITAL AUDIT

Scrip code*	500009		
NSE Symbol			
MSEI Symbol			
ISIN	INE432A01017		
Name of the company*	AMBALAL SARABHAI ENTERPRISES LIMITED		
<b>Registered office address</b>			
Registered office address*	Shanti Sadan, Mirzapur Road, Ahmedabad 380001		
Registered office state*	Gujarat		
Registered office city*	Ahmedabad		
Registered office district*	Ahmedabad		
Registered office pin code*	380001		
Registered office contact number*	ISD Code*	STD Code*	Number*
	091	079	25507671
Registered office fax			
Registered office country*	INDIA		
Registered office website	www.ase.life		
Registered office email	dsejpal@ase.life		
<b>Correspondence address</b>			
Same as above	Yes		
Correspondence address	Shanti Sadan, Mirzapur Road, Ahmedabad 380001		
Correspondence state	Gujarat		
Correspondence city	Ahmedabad		
Correspondence district	Ahmedabad		
Correspondence pin code	380001		
Correspondence contact number	ISD Code	STD Code	Number
	091	079	25507671
Correspondence fax			
Correspondence country	INDIA		
Correspondence email	dsejpal@ase.life		
Reporting quarter*	31-03-2020		
Face value*	10		

Stock Exchange Details :	Name of stock Exchange	Listed Capital	% Of total issued capital
Name of other stock exchanges where the company's securities are listed	BSE Ltd	76633296	100
Remarks			

**Capital Details :**

	Number of shares	% Of total issued capital
Issued capital*	76633296	
Listed capital (BSE) (As per company records)*	76633296	100
Held in dematerialised form in CDSL*	24660138	32.18
Held in dematerialised form in NSDL*	39056491	50.97
Physical*	12916667	16.86
Total no.of shares*	76633296	100
Reasons for difference if any, Between issued capital and listed capital*	0	
Reasons for difference if any, Between issued capital and total number of shares*	0	
Reasons for difference if any, Between listed capital and total number of shares*	0	

**Certifying the details of changes in share capital during the quarter under consideration as per Table below :**

Whether changes during the quarter*	No
-------------------------------------	----

Register of members is updated*	Yes
If not, Updated upto which date	
Reference of previous quarter with regards to excess dematerialised shares,If any.	
Has the company resolved the matter (excess dematerialised shares mentioned above) in the current quarter ?*	NA
If not, Reason why ?	

**Mentioned the total no.of requests, If any, confirmed after 21 days and the total no.of requests pending beyond 21 days with the reasons for delay**

Total no.of demat requests	No.of requests*	No.of shares*	Reasons for delay
Confirmed after 21 days*	0	0	NA
Pending for more than 21 days*	0	0	NA
Remarks			

**Compliance Officer Details**

Whether Compliance officer appointed	Yes
Whether Qualified Company Secretary is Compliance Officer *	Yes
Name of the compliance officer*	Damodar H. Sejpal
Date of Appointment	14-03-2020
Designation*	Company Secretary & Compliance Officer
Membership Nos	FCS-7535
Telephone no.*	091-9099013477
Fax no.	-
E-mail id*	dsejpal@ase.life
Whether any change in Compliance Officer during the previous 2 quarters*	Yes
Whether the previous Compliance Officer was Qualified Company Secretary *	Yes

### Details of Previous Compliance Officer

Previous Compliance Officer Name	KETAN ADHVARYU	
Membership Nos	ACS	6539
Date of Appointment	16-07-2009	
Date of Cessation	13-03-2020	

**Certifying Auditor Details**

CA/CS*	Company secretary
Name of certifying auditor*	AJAY M. PARIKH
Date of issue of report*	13-05-2020
Address*	1110, Phoenix, 11th Floor, Opp: New Girish Coldrink, Near Vijay Cross Road, Navrangpura
City*	Ahmedabad
Pincode*	380009
Contact no.*	079-26448996
Fax no.	-
Email	
Membership no.*	6075
Firms registration number of audit firm	
Name of the firm*	M/s. Ajay Parikh & Associates
COP number*	6503

**Registrar and Share Transfer Agent Details**

Appointment of common agency for share registry work*	Yes
Whether Registered with SEBI	
Name of RTA	M/s. MCS Share Transfer Agent Limited
SEBI registration no.	INR000004108
Address	10 Aram Apartment, 12 Sampatrao Colony, B/h Laxami Hall, Alkapuri, Vadodara
State	Gujarat
City	Vadodara
Pincode	390007
Contact no.	0265-2314757
Fax number of RTA	0265-2341639
E-mail id	helpdeskbaroda@mcsregistrars.com
Website Address	www.mcsregistrars.com
Whether any change in Registrar and Share Transfer Agents *	No
Previous Registrar and Share Transfer Agents Name	
Date of Cessation	
Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE)	