

**General information about company**

Scrip code	500009
NSE Symbol	
MSEI Symbol	
ISIN	INE432A01017
Name of the company	Ambalal Sarabhai Enterprises Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

## Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	26	23571212			23571212	30.76	23571212		23571212	30.76
(B)	Public	185723	53062084			53062084	69.24	53062084		53062084	69.24
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	<b>Total</b>	<b>185749</b>	<b>76633296</b>			<b>76633296</b>	<b>100</b>	<b>76633296</b>		<b>76633296</b>	<b>100</b>

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				30.76					23571212
(B)	Public				69.24					40224375
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					63795587

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting right
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	344692			344692	0.45	344692		344692	0.45
(d)	Any Other (specify)	22	23226520			23226520	30.31	23226520		23226520	30.31
Sub-Total (A) (1)		26	23571212			23571212	30.76	23571212		23571212	30.76
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		26	23571212			23571212	30.76	23571212		23571212	30.76
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	14	2712			2712	0	2712		2712	0
(g)	Insurance Companies	2	1700			1700	0	1700		1700	0
Sub-Total (B) (1)		16	4412			4412	0.01	4412		4412	0.01
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	183424	37044202			37044202	48.34	37044202		37044202	48.34
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	182	10960557			10960557	14.3	10960557		10960557	14.3
(e)	Any Other (specify)	2101	5052913			5052913	6.59	5052913		5052913	6.59
Sub-Total (B) (3)		185707	53057672			53057672	69.24	53057672		53057672	69.24
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		185723	53062084			53062084	69.24	53062084		53062084	69.24
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		185749	76633296			76633296	100	76633296		76633296	100
Total (A+B+C )		185749	76633296			76633296	100	76633296		76633296	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				0.45					344692
(d)				30.31					23226520
Sub-Total (A) (1)				30.76					23571212
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				30.76					23571212
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0					1292
(g)				0					0
Sub-Total (B) (1)				0.01					1292
( 3 )	Non-institutions								
(a(i))				48.34					24496922
(a(ii))				14.3					10960557
(e)				6.59					4765604
Sub-Total (B) (3)				69.24					40223083
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)				69.24					40224375
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					63795587
Total (A+B+C )				100					63795587

### Individuals/Hindu undivided Family

Serial No.	1	2	3	4	
Name of the Shareholders (I)	MALLIKA VIKRAM SARABHAI	KARTIKEYA VIKRAM SARABHAI	MOHAL KARTIKEYA SARABHAI	SAMVIT KARTIKEYA SARABHAI	<a href="#">Click here to go back</a>
PAN (II)	ALXPS5816L	ALXPS5817M	ACVPS6841C	ALXPS5815K	Total
No. of fully paid up equity shares held (IV)	125623	123142	51387	44540	344692
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	125623	123142	51387	44540	344692
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.16	0.16	0.07	0.06	0.45
Number of Voting Rights held in each class of securities (IX)					
Class eg:X	125623	123142	51387	44540	344692
Class eg:y					
Total	125623	123142	51387	44540	344692
Total as a % of Total Voting rights	0.16	0.16	0.07	0.06	0.45
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.16	0.16	0.07	0.06	0.45
Number of Locked in shares (XII)					

No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	125623	123142	51387	44540	344692
Reason for not providing PAN					
Reason for not providing PAN					
Shareholder type	Promoter	Promoter	Promoter	Promoter	



Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	SARABHAI HOLDINGS PRIVATE LIMITED	YUDHISTHAR INVESTMENTS PVT LTD IVL	KOSHALYA INVESTMENTS PVT LTD IVL	KANDA INVESTMENTS PVT.LTD.(IVL)	VASANTBAHAR INVESTMENTS PVT. LTD. (IVL)	TODIRAG HOLDINGS PVT.LTD.(IVL)	ASHAVARI INVESTMENTS PVT.LTD.(IVL)
PAN (II)	AAECS6919B	AAACY0538L	AAACK6175D	AAACK4615N	AAACV1875H	AAACT2112R	AAACA4417E
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	19303972	469305	456722	209288	200989	185675	182513
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	19303972	469305	456722	209288	200989	185675	182513
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	25.19	0.61	0.6	0.27	0.26	0.24	0.24
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	19303972	469305	456722	209288	200989	185675	182513
Class eg:y							
Total	19303972	469305	456722	209288	200989	185675	182513
Total as a % of Total Voting rights	25.19	0.61	0.6	0.27	0.26	0.24	0.24
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of	25.19	0.61	0.6	0.27	0.26	0.24	0.24



Any Other (specify)							
Searial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	VAISHAKHI INVESTMENTS PVT.LTD.(IVL)	MRIGANK INVESTMENTS PVT.LTD.(IVL)	JONPURI INVESTMENTS PVT.LTD.(IVL)	MADHAVBAG HOLDINGS PVT.LTD.(IVL)	RAJKA DESIGNS PRIVATE LIMITED	TALIMI INVESTMENTS PVT.LTD.(IVL)	SAHAYOG INVESTMENTS PVT.LTD.(IVL)
PAN (II)	AAACV1873B	AABCM1019R	AAACJ1960F	AABCM1020A	AAACR5477P	AAACT5634Q	AACCS0920J
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	181561	178669	178667	178651	163850	163323	161011
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	181561	178669	178667	178651	163850	163323	161011
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.24	0.23	0.23	0.23	0.21	0.21	0.21
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	181561	178669	178667	178651	163850	163323	161011
Class eg:y							
Total	181561	178669	178667	178651	163850	163323	161011
Total as a % of Total Voting rights	0.24	0.23	0.23	0.23	0.21	0.21	0.21
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of	0.24	0.23	0.23	0.23	0.21	0.21	0.21



**Any Other (specify)**

Serial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	KHAMAJ INVESTMENTS PVT.LTD.(IVL)	BHADRAPAD INVESTMENTS PVT.LTD.(IVL)	BILAWAL INVESTMENTS PVT.LTD.(IVL)	ADANA INVESTMENTS PVT.LTD.(IVL)	MEDICINAL DRUGS MFG.PVT.LTD. (IVL)	LEENA INVESTMENTS PVT LTD IVL	SARABHAI MANAGEMENT CORPORATION PVT LTD
PAN (II)	AAACK4614P	AAACB2441F	AAACB2440E	AAACA6852H	AACCM2915K	AAACL2702P	AACCS0925P
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	159086	157920	157920	157920	157716	128217	91634
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	159086	157920	157920	157920	157716	128217	91634
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.21	0.21	0.21	0.21	0.21	0.17	0.12
<b>Number of Voting Rights held in each class of securities (IX)</b>							
Class eg: X	159086	157920	157920	157920	157716	128217	91634
Class eg:y							
Total	159086	157920	157920	157920	157716	128217	91634
Total as a % of Total Voting rights	0.21	0.21	0.21	0.21	0.21	0.17	0.12
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of	0.21	0.21	0.21	0.21	0.21	0.17	0.12



Any Other (specify)		
Serial No.	22	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	HIMALAYA IVESTMENTS PVT.LTD.(IVL)	
PAN (II)	AAACH3827K	Total
No. of the Shareholders (I)	1	22
No. of fully paid up equity shares held (IV)	1911	23226520
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1911	23226520
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	30.31
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1911	23226520
Class eg:y		
Total	1911	23226520
Total as a % of Total Voting rights	0	30.31
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	0	30.31

(VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1911	23226520
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	



Any Other (specify)					
Serial No.	1	2	3	4	
Category	Trusts	Non-Resident Indian (NRI)	HUF	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					<a href="#">Click here to go back</a>
PAN (II)					Total
No. of the Shareholders (I)	4	569	914	614	2101
No. of fully paid up equity shares held (IV)	30140	713578	2502651	1806544	5052913
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	30140	713578	2502651	1806544	5052913
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.04	0.93	3.27	2.36	6.6
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	30140	713578	2502651	1806544	5052913
Class eg:y					
Total	30140	713578	2502651	1806544	5052913
Total as a % of Total Voting rights	0.04	0.93	3.27	2.36	6.6
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a	0.04	0.93	3.27	2.36	6.6

percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)					
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	30140	560366	2501971	1673127	4765604
Reason for not providing PAN					
Reason for not providing PAN					

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence